




# Smoking influences outcome in patients who had thrombolysed ischaemic stroke: the ENCHANTED study

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## ABSTRACT

**Background and purpose** As studies vary in defining the prognostic significance of smoking in acute ischaemic stroke (AIS), we aimed to determine the relation of smoking and key outcomes in patient participants who had thrombolysed AIS of the international quasi-factorial randomised Enhanced Control of Hypertension and Thrombolysis Stroke Study (ENCHANTED).

**Methods** Post-hoc analyses of ENCHANTED, an international quasi-factorial randomised evaluation of intravenous alteplase-dose comparison and levels of blood pressure control in patients who had thrombolysed AIS. Multivariable logistic regression models with inverse probability of treatment weighting (IPTW) propensity scores were used to determine associations of self-reported smoking status and clinical outcomes, according to 90-day modified Rankin Scale (mRS) scores and symptomatic intracerebral haemorrhage (sICH).

**Results** Of 4540 patients who had an AIS, there were 1008 (22.2%) current smokers who were younger and predominantly male, with more comorbidities of hypertension, coronary artery disease, atrial fibrillation and diabetes mellitus, and greater baseline neurological impairment, compared with non-smokers. In univariate analysis, current smokers had a higher likelihood of a favourable shift in mRS scores (OR 0.88, 95% CI 0.77 to 0.99;  $p=0.038$ ) but this association reversed in a fully adjusted model with IPTW (adjusted OR 1.15, 95% CI 1.04 to 1.28;  $p=0.009$ ). A similar trend was also apparent for dichotomised poor outcome (mRS scores 2–6: OR 1.18, 95% CI 1.05 to 1.33;  $p=0.007$ ), but not with the risk of sICH across standard criteria.

**Conclusion** Smoking predicts poor functional recovery in patients who had thrombolysed AIS.

**Trial registration number** NCT01422616.

## INTRODUCTION

In addition to a two-fold increased risk of acute ischaemic stroke (AIS) in the general population,<sup>1–4</sup> cigarette smoking influences the prognosis from this illness and risk of recurrent vascular events.<sup>5–7</sup> Intravenous alteplase has an established net benefit in patients who have AIS across a wide range of characteristics,<sup>8–11</sup> but the interaction with smoking on recovery is controversial. Several studies suggest better outcomes in patients who had thrombolysed

AIS who smoke,<sup>12</sup> possibly by modifying platelet function,<sup>13 14</sup> altering clot dynamics and enhancing reperfusion.<sup>15 16</sup> However, selection bias and residual confounding limit the conclusions that can be drawn from such data.<sup>17</sup> Recent post-hoc analyses of the efficacy and safety of MRI-based thrombolysis in wake-up stroke trial have shown that smoking does not modify the effect of intravenous thrombolysis in 486 patients who had an AIS with an unknown time of symptom onset and diffusion-weighted imaging-fluid attenuation inversion recovery mismatch on brain MRI.<sup>18</sup> Herein, we present analyses of the international Enhanced Control of Hypertension and Thrombolysis Stroke Study (ENCHANTED) to help resolve conflicting results across studies concerning the prognostic significance of smoking in patients who had thrombolysed AIS.

## METHODS

### Study design

ENCHANTED was an international, 2×2 partial-factorial, multicentre, prospective, randomised, open-label, blinded-endpoint trial, which evaluated the effects of low-dose (0.6 mg/kg) versus standard-dose (0.9 mg/kg) intravenous alteplase ( $n=3310$ ), and intensive versus guideline-recommended blood pressure (BP) lowering ( $n=2227$ ) in 4587 patients who had thrombolysis-eligible AIS.<sup>19–23</sup>

### Clinical assessment and outcomes

Key demographic and clinical characteristics were recorded at the time of patient enrolment, with current smoking status obtained by self-report. Clinical outcomes were assessed at 90 days by trained investigators blind to study treatment. The primary outcome was functional status, defined by an ordinal shift in the distribution of the full range of scores on the modified Rankin Scale (mRS). Other outcomes were according to dichotomous



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scores on the mRS (1–6 vs 0; 2–6 vs 0–1; 3–6 vs 0–2; 4–6 vs 0–3; 5–6 vs 0–4; 6 vs 0–5), and death or neurological deterioration according to scores on the National Institutes of Health Stroke Scale (NIHSS) in 24 hours and 7 days. Safety outcomes were symptomatic intracranial haemorrhage (sICH), any ICH, any clinician reported ICH, any adjudicated ICH and any fatal ICH. The key measure of sICH was from the Safe Implementation of Thrombolysis in Stroke-Monitoring Study, defined as type 2 parenchymal ICH (>30% of the infarcted area affected by haemorrhage with mass effect or extension outside the infarct) together with either neurological deterioration ( $\geq 4$  points increase in NIHSS score) or death within 24–36 hours.<sup>24</sup> Other criteria used to further evaluate symptomatic ICH were definitions from the National Institute of Neurological Disorders and Stroke (NINDS), second and third European Cooperative Acute Stroke Studies and third International Stroke Trial.<sup>25–28</sup>

### Statistical analysis

As patient characteristics were expected to differ between smokers and non-smokers, we calculated a propensity score to estimate individual probability of being a smoker based on the following baseline variables: sex, age, ethnicity (Asian vs non-Asian), systolic BP, NIHSS score, estimated pre-morbid mRS score (0 vs 1), presence of vascular risk factors (hypertension, coronary artery disease, other heart diseases, atrial fibrillation, diabetes mellitus or hypercholesterolaemia) and medications (anticoagulation, antiplatelet therapy, glucose lowering and lipid lowering agents). The inverse probability of treatment weighting (IPTW) adjustment for baseline imbalances<sup>29</sup> was examined using absolute standardised differences in covariate means.<sup>30</sup> Stabilised weights,<sup>31</sup> used to reduce variance in the estimates of the effect of smoking, were incorporated into logistic regression models to determine associations of smoking and outcomes. Data were presented with OR and 95% CI, with a standard level of significance set at  $p < 0.05$ . All analyses were undertaken using SAS software (V.9.3).

### RESULTS

Overall, 4540 patients who had thrombolysed AIS were included in these analyses, of whom 1008 (22.2%) were current smokers. Table 1 shows that compared with non-smokers, current smokers were younger, predominantly male, had more cardiovascular risk factors of hypertension, coronary artery or other heart disease, atrial fibrillation, diabetes mellitus and hypercholesterolaemia, presented with greater neurological impairment, and were more likely to have AIS with a final diagnosis of either large-vessel occlusion or cardioembolism. Time from symptom onset to alteplase administration was comparable between the two groups, but smokers were less likely to receive in-hospital nasogastric feeding, early mobilisation, compression stockings and subcutaneous heparin treatment.

Distributions of baseline covariates were well balanced following application of propensity scores; all post-IPTW absolute standardised differences were within an acceptable margin of 0.1 (online supplemental figure S1). Although the proportional odds assumption was violated ( $p < 0.0001$ ), we still proceeded with an ordinal analysis for assessing the distribution of mRS scores and to compare these with analyses of dichotomised mRS scores. In univariate analysis on shift mRS scores, current smokers had a higher likelihood of a favourable outcome, compared with non-smokers (OR 0.88, 95% CI 0.77 to 0.99;  $p = 0.038$ ) (table 2, online supplemental figure S2). However, the direction of association was reversed in a fully adjusted model with IPTW (adjusted OR 1.15, 95% CI 1.04 to 1.28;  $p = 0.009$ ), indicating current smokers had an unfavourable outcome. This association with poor outcome was consistent across all dichotomised mRS scores, except for severe grades of disability (mRS scores 4–6 and 5–6).

There was no significant association between smoking and different definitions of sICH, except for NINDS criteria (OR 1.29, 95% CI 1.03 to 1.60;  $p = 0.003$ ) (table 2, figure 1). Sensitivity analysis undertaken to explore potential confounders indicated age, sex and baseline NIHSS were the key factors influencing the direction of association (table 3); their exclusion from models produced comparable direction and magnitude of association between smoking and functional outcomes seen in univariate analysis (OR 0.96, 95% CI 0.85 to 1.09;  $p = 0.557$ ).

### DISCUSSION

In these secondary analyses of the large ENCHANTED database, we have shown that smokers had a poor functional outcome after treatment with intravenous thrombolysis for AIS. The adverse outcome was also reflected in greater odds of early neurological deterioration, but there was no clear association of smoking and sICH. The discordant results across the other studies on this topic may relate to incomplete adjustment for confounding variables, in particular neurological severity.

The finding that smokers were younger and had more cardiovascular risk factors than non-smokers with AIS, and in having a greater likelihood of large-vessel occlusion or cardioembolism, is consistent with other studies,<sup>7 32</sup> suggesting an acceleration of atherosclerosis and thrombus formation from smoking.<sup>33–37</sup> However, the so-called ‘smoking-thrombolysis paradox’, promoted in relation to a potential increase in the efficacy of thrombolysis in smokers,<sup>16 37 38</sup> may have been influenced by systematic errors and/or residual confounding,<sup>17</sup> particularly in relation to neurological severity, as we have shown. A large ( $n = 10825$ ) multicentre prospective study of AIS has also shown that current and recent smoking was associated with unfavourable functional outcome,<sup>7</sup> while a Taiwanese registry study found that smokers had twofold greater mortality and prolonged disability after stroke.<sup>38</sup> These findings support our findings where we

**Table 1** Baseline patient characteristics and management by smoking status

Variables	Non-smoking (N=3532)	Smoking (N=1008)	P value
Time from symptom onset to randomisation, min	2.9 (2.2–3.7)	2.9 (2.2–3.8)	0.680
Time from symptom onset to intravenous alteplase, min	170 (129–217)	175 (131–224)	0.068
Age, years	68.2 (12.7)	61.5 (11.2)	<0.001
Female	1583 (44.8)	132 (13.1)	<0.001
Asian	2245 (63.6)	282 (28.0)	<0.001
Systolic blood pressure	154 (19)	152 (19)	<0.001
Diastolic blood pressure	86 (13)	88 (13)	<0.001
Heart rate	79 (16)	79 (14)	0.549
NIHSS score	8 (5–13)	7 (4–12)	<0.001
GCS	15 (13–15)	15 (14–15)	<0.001
<b>Medical history</b>			
Hypertension	2360/3532 (66.8)	573/1008 (56.8)	<0.001
Stroke	653/3532 (18.5)	168/1008 (16.7)	0.185
Coronary artery disease	534/3532 (15.1)	109/1008 (10.8)	0.001
Other heart diseases	232/3532 (6.6)	49/1008 (4.9)	0.047
Atrial fibrillation	698/3528 (19.8)	107/1008 (10.6)	<0.001
Diabetes mellitus	755/3532 (21.4)	170/1008 (16.9)	0.002
Hypercholesterolaemia	572/3532 (16.2)	132/1008 (13.1)	0.017
Premorbid symptom-free (mRS 0)	2905/3530 (82.3)	869/1007 (86.3)	0.003
Antihypertensive agent(s)	1698/3532 (48.1)	373/1008 (37.0)	<0.001
Statin/other lipid-lowering	708/3529 (20.1)	135/1007 (13.4)	<0.001
Aspirin/other antiplatelet agent(s)	831/3530 (23.5)	153/1007 (15.2)	<0.001
Warfarin anticoagulation	90/3530 (2.5)	10/1007 (1.0)	0.003
Glucose lowering agent(s)	484/3530 (13.7)	98/1007 (9.7)	0.001
<b>Pathological subtype</b>			
Large-artery occlusion	1377/3394 (40.6)	427/963 (44.3)	<0.001
Cardioembolism	781/3394 (23.0)	276/963 (28.7)	
Small-vessel or perforator disease	684/3394 (20.2)	112/963 (11.6)	
Other/uncertain aetiology	552/3394 (16.3)	148/963 (15.4)	
<b>Management</b>			
Intubation and ventilation	181/3480 (5.2)	46/988 (4.7)	0.491
Nasogastric feeding	636/3479 (18.3)	153/988 (15.5)	0.042
Physiotherapy mobilisation	1579/3479 (45.4)	391/988 (39.6)	0.001
Compression stockings	320/3478 (9.2)	62/988 (6.3)	0.004
Subcutaneous heparin	710/3532 (20.1)	151/1008 (15.0)	<0.001
Antithrombotic agent in first 24 hours	593/3522 (16.8)	152/1007 (15.1)	0.188
Haemicraniectomy	34/3480 (1.0)	13/988 (1.3)	0.357
Intensive care unit admission	785/3479 (22.6)	216/988 (21.9)	0.641
Rehabilitation	1725/3480 (49.6)	495/988 (50.1)	0.768
Decision to withdrawal active care	97/3481 (2.8)	14/988 (1.4)	0.015

Data are n/N (%), mean (SD) or median (IQR).

GCS, Glasgow Coma Scale; mRS, modified Rankin Scale; NIHSS, National Institutes of Health Stroke Scale.

**Table 2** Primary and secondary outcomes at 3 months

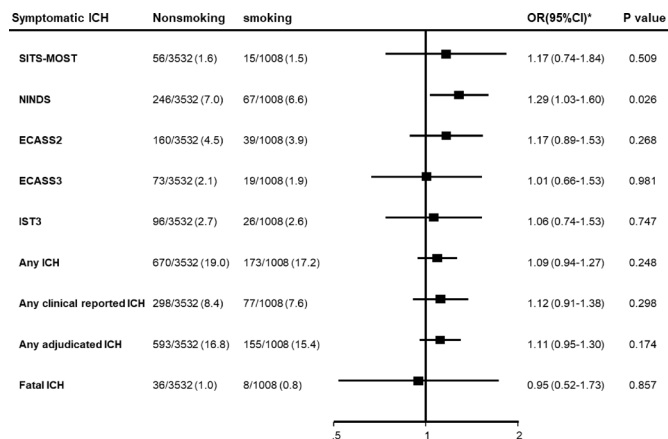
Outcome	Non-smoking		Smoking		Univariate		Multivariable	
	N=3532		N=1008		OR (95% CI)	P value	OR (95% CI)	P value
Primary outcome—ordinal mRS					0.88 (0.77 to 0.99)	0.038	1.15 (1.04 to 1.28)	0.009*
Secondary outcome—dichotomised mRS								
1–6 versus 0	2571/3467 (74.2)	728/981 (74.2)	1.00 (0.85 to 1.18)	0.973	1.24 (1.09 to 1.43)	0.002		
2–6 versus 0–1	1756/3467 (50.7)	469/981 (47.8)	0.89 (0.78 to 1.03)	0.117	1.18 (1.05 to 1.33)	0.007		
3–6 versus 0–2	1265/3467 (36.5)	319/981 (32.5)	0.84 (0.72 to 0.98)	0.022	1.18 (1.04 to 1.33)	0.009		
4–6 versus 0–3	875/3467 (25.2)	187/981 (19.1)	0.70 (0.59 to 0.83)	<0.001	0.98 (0.85 to 1.12)	0.741		
5–6 versus 0–4	532/3467 (15.3)	111/981 (11.3)	0.70 (0.57 to 0.88)	0.002	1.01 (0.86 to 1.20)	0.889		
Death	338/3532 (9.6)	71/1008 (7.0)	0.72 (0.55 to 0.94)	0.015	0.94 (0.77 to 1.16)	0.586		
Death or neurological deterioration in 24 hours†	305/3532 (8.6)	87/1008 (8.6)	1.00 (0.78 to 1.28)	0.997	1.26 (1.03 to 1.54)	0.023		
Death or neurological deterioration in 7 days†	444/3532 (12.6)	123/1008 (12.2)	0.97 (0.78 to 1.20)	0.757	1.18 (0.99 to 1.40)	0.059		
Symptomatic ICH‡								
SITS-MOST criteria	56/3532 (1.6)	15/1008 (1.5)	0.94 (0.53 to 1.67)	0.826	1.17 (0.74 to 1.84)	0.509		
NINDS criteria	246/3532 (7.0)	67/1008 (6.6)	0.95 (0.72 to 1.26)	0.725	1.29 (1.03 to 1.60)	0.026		
ECASS2 criteria	160/3532 (4.5)	39/1008 (3.9)	0.85 (0.59 to 1.21)	0.367	1.17 (0.89 to 1.53)	0.268		
ECASS3 criteria	73/3532 (2.1)	19/1008 (1.9)	0.91 (0.55 to 1.52)	0.718	1.01 (0.66 to 1.53)	0.981		
IST3 criteria	96/3532 (2.7)	26/1008 (2.6)	0.95 (0.61 to 1.47)	0.810	1.06 (0.74 to 1.53)	0.747		
Any ICH	670/3532 (19.0)	173/1008 (17.2)	0.89 (0.74 to 1.06)	0.193	1.09 (0.94 to 1.27)	0.248		
Any clinical-reported ICH	298/3532 (8.4)	77/1008 (7.6)	0.90 (0.69 to 1.17)	0.417	1.12 (0.91 to 1.38)	0.298		
Any adjudicated ICH	593/3532 (16.8)	155/1008 (15.4)	0.90 (0.74 to 1.09)	0.287	1.11 (0.95 to 1.30)	0.174		
Fatal ICH	36/3532 (1.0)	8/1008 (0.8)	0.78 (0.36 to 1.68)	0.520	0.95 (0.52 to 1.73)	0.857		

\*The common OR was estimated from an ordinal logistic-regression model and indicates the odds of a decrease of 1 in the modified Rankin Scale (mRS) score.

†Neurological deterioration ( $\geq 4$  points increase in National Institutes of Health Stroke Scale (NIHSS) score) or death within 24–36 hours.

‡The main definition of symptomatic intracerebral haemorrhage (ICH) used was from Safe Implementation of Thrombolysis in Stroke-Monitoring Study (SITS-MOST), as a large local or remote parenchymal intracerebral haemorrhage ( $>30\%$  of the infarcted area affected by haemorrhage with mass effect or extension outside the infarct) in combination with neurological deterioration from baseline (increase of  $\geq 4$  in the NIHSS score) or death within 36 hours. Symptomatic ICH was also assessed according to other trial criteria (see appendix). CI, confidence interval; ECASS2 and ECASS3, second and third European Cooperative Acute Stroke Studies; IST3, third International Stroke Study; NINDS, National Institute of Neurological Disorders and Stroke; OR, odds ratio.





**Figure 1** Forest plot for symptomatic intracerebral haemorrhage (ICH) variables at 90 days. ECASS2/3, second and third European Cooperative Acute Stroke Studies; IST3, third International Stroke Trial; NINDS, National Institute of Neurological Disorders and Stroke; SITS-MOST, Safe Implementation of Thrombolysis in Stroke-Monitoring Study.

used a propensity score approach to adjust covariate confounders between smokers and non-smokers.

Several potential mechanisms could explain the poor prognosis in patients who had thrombolysed AIS who smoke. Smoking may compromise recovery due to abnormal cardiopulmonary function,<sup>67</sup> while also specific adverse effects on the vascular endothelium that could inhibit restorative processes in the brain.<sup>39</sup> An increase in haematocrit may potentially increase resistance to blood flow and oxygen supply.<sup>40</sup> Further imaging studies defining the relation of smoking and post-thrombolysis recanalisation status may clarify such mechanistic processes.

Key strengths of this study include the use of data derived from an international, multicentre, study, which had a rigorous protocol, standardised data collection procedures, and objective outcome measures. The large sample size and use of multivariable models with

propensity score matching adjustment of known covariates offered an advantage of reducing the influence of confounding. We recognise, however, that the inclusion of clinical trial participants with predominantly mild-to-moderate AIS from Asia may raise concerns over the generalisability of these results. While other studies have shown a dose-dependent pattern of smoking,<sup>41-42</sup> we were limited in only being able to use a simple binary measure of this exposure without any data on the frequency, duration and time from cessation of smoking. Finally, as these analyses were not prespecified, they are prone to random error and residual confounding.

In summary, our study has shown that smokers adversely influence functional recovery in patients who had thrombolysed AIS, compared with non-smokers.

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**Ethics approval** Every ethics committee at the participating centers. The study protocol was approved by the appropriate Ethics Committee at each participating hospital, and written informed consent was obtained from each patient or an appropriate surrogate.

**Table 3** Logistic regression models for primary outcome, with variable exclusions

Outcome	Models	OR (95% CI)	P value
Ordinal mRS	Model 1	1.23 (1.07 to 1.40)	0.003
	Model 2	1.26 (1.10 to 1.43)	0.001
	Model 3	1.12 (0.98 to 1.27)	0.088
	Model 4	0.96 (0.85 to 1.09)	0.557

Model 1: fully adjusted for sex, age, ethnic group, baseline National Institutes of Health Stroke Scale (NIHSS), baseline systolic blood pressure, history of hypertension, acute coronary syndrome, other heart disease, diabetes mellitus, hypercholesterolaemia, prior use of antiplatelet use, anticoagulant use, glucose lowering agent, lipid lowering agent, modified Rankin Scale (mRS) before stroke.

Model 2: variables in model 1 with exclusion of sex.

Model 3: variables in model 1 with exclusion of age and sex.

Model 4: variables in model 1 with exclusion of age, sex and baseline NIHSS score.

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**Data availability statement** Data are available upon reasonable request.

Individual deidentified participant data used in these analyses can be shared by formal request with protocol and statistical analysis plan from any qualified investigator to the Research Office of The George Institute for Global Health, Australia. A tailored dataset specific to the research question will be shared for 6 months, and the data can be only accessed by qualified statisticians for the proposed analysis.

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